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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

10/826,353

In re Patent Application of

Dalero BERKELEY et al.

Atty. Ref.: 839-1510

Patent No. 6,907,738

TC/A.U. 3746

Issued: June 21, 2005

Examiner: CASAREGOLA, Louis A.

For: A PILOT AIR APPARATUS AND METHOD FOR A COMBUSTOR OF GAS TURBINE

\* \* \* \* \*

April 11, 2007

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RECEIVED**

**MAY 21 2007**

Sir:

**OFFICE OF PETITIONS**

**PETITION TO CORRECT INVENTORSHIP IN AN ISSUED PATENT**

This Petition is presented to correct the inventorship in the above-captioned issued patent and is made pursuant to 37 C.F.R. §1.34. It is respectfully requested that the USPTO grant this Petition and issue a Certificate of Correction adding Mr. Andres Garcia-Crespo as a named inventor on the patent. Attached is a proposed Certificate of Correction. Further, the attachments to this Petition is supported by the following attachments:

(1) a statement from Mr. Garcia-Crespo stating that the inventorship error occurred without any deceptive intent on his part. Also enclosed is a inventor Declaration signed by Mr. Garcia-Crespo.

(2) a statement signed by each of the other named inventors stating that they have no disagreement with adding Mr. Garcia-Crespo as an inventor to the patent.

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(3) a statement from the Assignee (General Electric Company) agreeing to adding Mr. Garcia-Crespo as an inventor on this GE patent.

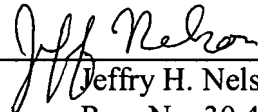
(4) the fee required by §1.20(b).

All requirements necessary for the correction of inventorship are believed to have been satisfied. If any small matter remains outstanding, the USPTO is respectfully requested to telephone the undersigned so that such matters can be promptly resolved. Prompt consideration and granting of this Petition is earnestly solicited.

Respectfully submitted,

**NIXON & VANDERHYE P.C.**

By: \_\_\_\_\_

  
Jeffrey H. Nelson  
Reg. No. 30,481

JHN:glf  
901 North Glebe Road, 11th Floor  
Arlington, VA 22203-1808  
Telephone: (703) 816-4000  
Facsimile: (703) 816-4100



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent of

Dalero BERKELEY et al.

Atty. Ref.: 839-1510

Patent No. 6,907,738

Issued: June 21, 2005

For: PILOT AIR APPARATUS AND METHOD FOR A  
COMBUSTOR OF GAS TURBINE

\* \* \* \* \*

April 11, 2007

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

RECEIVED

MAY 21 2007

OFFICE OF PETITIONS

**REQUEST FOR A CERTIFICATE OF CORRECTION**

The patentee in the above-identified patent hereby request that the Patent and Trademark Office issue an official Certificate of Correction.

On the cover page, Item (75), please correct the inventors to read as follows:

(75) **Dalero Winston Berkeley**, Greenville, SC (US); **Robert A. McLeod**, Greenville, SC (US); **Michael Paul Black**, Simpsonville, SC (US); **Doug Dean**, Greer, SC (US); **Andres Garcia-Crespo**, Greenville, SC (US).

Since the error arose on the part of the patentee(s), a check in the amount of \$100.00 is submitted herewith securing issuance of the Certificate.

The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper

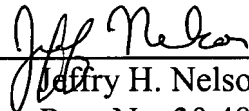
Dalero BERKELEY et al.  
**Patent No. 6,907,738**

hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate  
copy of this sheet is attached.

Respectfully submitted,

**NIXON & VANDERHYE P.C.**

By: \_\_\_\_\_

  
Jeffrey H. Nelson  
Reg. No. 30,481

JHN:glf  
901 North Glebe Road, 11th Floor  
Arlington, VA 22203-1808  
Telephone: (703) 816-4000  
Facsimile: (703) 816-4100

Staple  
Here  
Only!

UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF CORRECTION

PATENT NO. : 6,907,738  
DATED : June 21, 2005  
INVENTOR(S) : Dalero BERKELEY et al.

It is certified that error appears in the above-identified patent and that said letters patent is hereby corrected as shown below:

On the cover page, Item (75), please correct the inventors to read as follows:

(75) **Dalero Winston Berkeley**, Greenville, SC (US); **Robert A. McLeod**, Greenville, SC (US); **Michael Paul Black**, Simpsonville, SC (US); **Doug Dean**, Greer, SC (US); **Andres Garcia-Crespo**, Greenville, SC (US).

MAILING ADDRESS OF SENDER:

Jeffry H. Nelson  
NIXON & VANDERHYE P.C.  
901 North Glebe Road, 11th Floor  
Arlington, Virginia 22201

PATENT NO.

**6,907,738**

No. of addi'  
@ 50¢ per page



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

Dalero BERKELEY et al.

Atty. Ref.: 839-1510

Patent No. 6,907,738

TC/A.U.: 3746

Issued: June 21, 2005

Examiner: CASAREGOLA, Louis A.

For: PILOT AIR APPARATUS AND METHOD FOR A COMBUSTOR OF  
GAS TURBINE

\* \* \* \* \*

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RECEIVED**

**MAY 21 2007**

Sir:

**OFFICE OF PETITIONS**

**DECLARATION OF ANDRES GARCIA-CRESPO**

I am Andres Garcia-Crespo of 1421 Roper Mountain Road, Apt. 140, Greenville,  
South Carolina 29615. I am a U.S. citizen.

1. I am a joint inventor of the subject matter claimed in U.S. Patent No. 6,907,738  
("the '738 Patent). Attached to this Declaration is a Rule 63 Declaration signed by me  
for the '738 Patent.

2. The error in not naming me as an inventor on the application for the '738  
Patent occurred without any deceptive intention on my part.

3. I have executed an assignment transferring my rights in the '738 Patent to the  
General Electric Company.

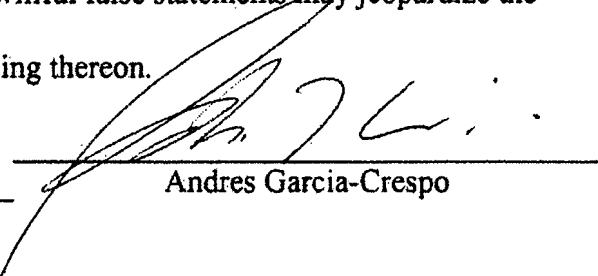
4. I declare further that all statements made herein of my own knowledge are true  
and that all statements made on information and belief are believed to be true; and further

Dalero BERKELEY et al.  
Patent No. 6,907,738

that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date:

1/17/07

  
\_\_\_\_\_  
Andres Garcia-Crespo

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

Dalero BERKELEY et al.

Atty. Ref.: 839-1510

Patent No. 6,907,738

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Issued: June 21, 2005

Examiner: CASAREGOLA, Louis A.

For: PILOT AIR APPARATUS AND METHOD FOR A COMBUSTOR OF  
GAS TURBINE

\* \* \* \* \*

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

RECEIVED  
MAY 21 2007  
OFFICE OF PETITIONS


**STATEMENT BY THE INVENTORS**

1. We, the undersigned, are the originally named inventors on U.S. Patent No. 6,907,738 ("the '738 Patent").
2. None of us have any disagreement with adding Mr. Andres Garcia-Crespo as an inventor of the invention claimed in the '738 Patent.
3. We declare further that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under



Dalero BERKELEY et al.  
U.S. Patent No. 6,907,738

Section 1001 of Title 18 of the United States Code and that such willful false statements  
may jeopardize the validity of the application or any patent issuing thereon.

Date: 2/7/07   
Dalero Winston Berkeley

Date: \_\_\_\_\_  
Robert A. McLeod

Date: \_\_\_\_\_  
Michael Paul Black

Date: \_\_\_\_\_  
Doug Dean

Dalero BERKELEY et al.  
U.S. Patent No. 6,907,738

Section 1001 of Title 18 of the United States Code and that such willful false statements  
may jeopardize the validity of the application or any patent issuing thereon.

Date: \_\_\_\_\_  
Dalero Winston Berkeley

Date: 3/5/07 \_\_\_\_\_  
Robert A. McLeod

Date: \_\_\_\_\_  
Michael Paul Black

Date: \_\_\_\_\_  
Doug Dean

Section 1001 of Title 18 of the United States Code and that such willful false statements  
may jeopardize the validity of the application or any patent issuing thereon.

Date: \_\_\_\_\_  
Dalero Winston Berkeley

Date: \_\_\_\_\_  
Robert A. McLeod

Date: Feb 24, 2007 \_\_\_\_\_  
Michael Paul Black

Date: \_\_\_\_\_  
Doug Dean

Dalero BERKELEY et al.  
U.S. Patent No. 6,907,738

Section 1001 of Title 18 of the United States Code and that such willful false statements  
may jeopardize the validity of the application or any patent issuing thereon.

Date: \_\_\_\_\_  
Dalero Winston Berkeley

Date: \_\_\_\_\_  
Robert A. McLeod

Date: \_\_\_\_\_  
Michael Paul Black

Date: 18 JAN 2007 \_\_\_\_\_  
Doug Dean



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

Dalero BERKELEY et al.

Atty. Ref.: 839-1510

Patent No. 6,907,738

TC/A.U.: 3746

Issued: June 21, 2005

Examiner: CASAREGOLA, Louis A.

For: PILOT AIR APPARATUS AND METHOD FOR A COMBUSTOR OF  
GAS TURBINE

\* \* \* \* \*

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**STATEMENT BY GENERAL ELECTRIC COMPANY**

1. I am the authorized representative of the General Electric Company which is the assignee of the above-captioned patent. The USPTO Assignment records at Reel 015229/Frame 0649 show that General Electric Company is the assignee of this patent.

2. The General Electric Company has no objection to adding Mr. Andres Garcia-Crespo as an inventor to the above-identified GE patent.

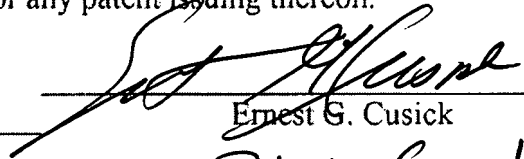
3. I declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001

Dalero BERKELEY et al.  
U.S. Patent No. 6,907,738

of Title 18 of the United States Code and that such willful false statements may  
jeopardize the validity of the application or any patent issuing thereon.

Date:

Jan. 17 2001



Ernest G. Cusick

Patent Counsel

39476

**ASSIGNMENT**

In accordance with obligations entered into pursuant to an Employee Innovation and Proprietary Information Agreement or other agreement and/or for other good and valuable consideration of which I acknowledge receipt, Andres Garcia-Crespo, 1421 Roper Mountain Road, Apt. 140, Greenville, South Carolina 29615, hereby sell and assign to General Electric Company, a New York Corporation, having an address at 1 River Road, Schenectady, New York 12345, USA, (hereinafter referred to as "Company"), its successors and assigns my entire respective right(s), title(s) and interest(s) in and to the invention and improvements invented and originated by me and described in the application for United States Patent currently entitled PILOT AIR APPARATUS AND METHOD FOR A COMBUSTOR OF GAS TURBINE and **GE Disclosure Letter 143106.1**

☐ signed as of even date,

☐ signed as of \_\_\_\_\_, or

☒ filed on April 19, 2004 having Serial Number 10/826,353 (now U.S. Patent No. 6,907,738

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations and reissues thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said inventions and improvements resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I will communicate to said Company or its representatives or nominees any facts known to me respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and

nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.

[Signature] 1/17/07  
 Andres Garcia-Crespo Date

Witnessed by:

Name: Ousmane Diallo Date: 1/17/06  
 Signature of witness

OUSMANE DIALLO  
 Printed name of witness

Name: Noémie Dion Ouellet Date: 1/17/06  
 Signature of witness

Noémie Dion Ouellet  
 Printed name of witness

STATE OF GA

ss):

COUNTY OF Cobb

This 17 day of January, 2007, before me personally came the above-named, Andres Garcia-Crespo, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

\_\_\_\_\_  
 Notary Public

My Commission Expires: \_\_\_\_\_



**RULE 63 (37 C.F.R. 1.63)  
INVENTORS DECLARATION FOR PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

As a below named inventor, I hereby declare that my residence, mailing address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

PILOT AIR APPARATUS AND METHOD FOR A COMBUSTOR OF GAS TURBINE

the specification of which (check applicable box(es)):

☐ is attached hereto  
☒ was filed on April 19, 2004 as U.S. Application Serial No. 10/826,353 (now U.S. Patent 6,907,738) (Atty. Dkt. No. 839-1510)  
☐ was filed as PCT International application No. \_\_\_\_\_ on \_\_\_\_\_  
 and (if applicable to U.S. or PCT application) was amended on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed or, if no priority is claimed, before the filing date of this application:

Priority Foreign Application(s):	Application Number	Country	Day/Month/Year Filed
----------------------------------	--------------------	---------	----------------------

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

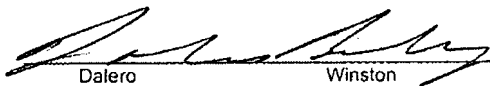
Application Number	Day/Month/Year Filed
--------------------	----------------------

I hereby claim the benefit under 35 U.S.C. 120/365 of all prior United States and PCT international applications listed above or below.

Prior U.S./PCT Application(s):	Application Serial No.	Day/Month/Year Filed	Status: patented pending, abandoned
	10/784,207	24/02/2004	Patented

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. And on behalf of the owner(s) hereof, I hereby ask that all correspondence in this matter be directed to:

**Customer Number: 30024**

1.	Inventor's Signature: 	Date: 2/7/07
	Inventor: Dalero (first) Winston (last) Berkeley (last) USA (citizenship)	
	Residence: (city) Greenville (state/country) South Carolina	
	Mailing Address: 9 Shairpin Lane, Greenville, South Carolina	
	(Zip Code) 29607	
2.	Inventor's Signature: _____	Date: _____
	Inventor: Robert (first) A. (last) McLeod (last) USA (citizenship)	
	Residence: (city) Greenville (state/country) South Carolina	
	Mailing Address: 300 Garington Rd., P.O. Box 648, Room 160, Greenville, South Carolina	
	(Zip Code) 29602	

**[X] See attached sheet(s) for additional inventor(s) information!!**

**RULE 63 (37 C.F.R. 1.63)  
INVENTORS DECLARATION FOR PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

As a below named inventor, I hereby declare that my residence, mailing address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**PILOT AIR APPARATUS AND METHOD FOR A COMBUSTOR OF GAS TURBINE**

the specification of which (check applicable box(es)):

- ☐ is attached hereto  
☒ was filed on April 19, 2004 as U.S. Application Serial No. 10/826,353 (now U.S. Patent 6,907,738) (Atty. Dkt. No. 839-1510)  
☐ was filed as PCT International application No. \_\_\_\_\_ on \_\_\_\_\_  
 and (if applicable to U.S. or PCT application) was amended on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed or, if no priority is claimed, before the filing date of this application:

Priority Foreign Application(s):	Country	Day/Month/Year Filed
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I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

Application Number	Day/Month/Year Filed
--------------------	----------------------

I hereby claim the benefit under 35 U.S.C. 120/365 of all prior United States and PCT international applications listed above or below.

Prior U.S./PCT Application(s):	Day/Month/Year Filed	Status: patented pending, abandoned
Application Serial No. 10/784,207	24/02/2004	Patented

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. And on behalf of the owner(s) hereof, I hereby ask that all correspondence in this matter be directed to:

**Customer Number: 30024**

- Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Inventor: Dalero Winston Berkeley USA  
(first) (last) (citizenship)

Residence: (city) Greenville (state/country) South Carolina

Mailing Address: 9 Shairpin Lane, Greenville, South Carolina

(Zip Code) 29607
- Inventor's Signature: \_\_\_\_\_ Date: 03/05/07

Inventor: Robert A. McLeod USA  
(first) (last) (citizenship)

Residence: (city) Greenville (state/country) South Carolina

Mailing Address: 300 Garington Rd., P.O. Box 648, Room 160, Greenville, South Carolina

(Zip Code) 29602

**[X] See attached sheet(s) for additional inventor(s) information!!**

RULE 63 (37 C.F.R. 1.63)  
INVENTORS DECLARATION FOR PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

3. Inventor's Signature: Michael Paul Black Date: 02/26/07  
Inventor: Michael Paul Black USA  
(first) (last) (citizenship)  
Residence: (city) Simpsonville (state/country) South Carolina  
Mailing Address: 27 Redglobe Ct., Simpsonville, South Carolina  
(Zip Code) 29681
4. Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Inventor: Doug Dean USA  
(first) (last) (citizenship)  
Residence: (city) Greer (state/country) South Carolina  
Mailing Address: 223 Silver Creek Rd., Greer, South Carolina  
(Zip Code) 239650
5. Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Inventor: Andres Garcia-Crespo  
(first) (last) (citizenship)  
Residence: (city) Greenville (state/country) South Carolina  
Mailing Address: 1421 Roper Mountain Road, Apt. 140, Greenville, South Carolina  
(Zip Code) 29615

Serial No. 10/826,353

Page 2

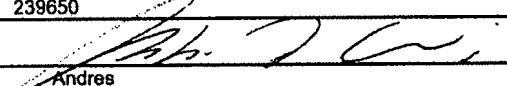
Nixon & Vanderhye P.C. (10/99)  
(Domestic Assigned)RULE 63 (37 C.F.R. 1.63)  
INVENTORS DECLARATION FOR PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

3. Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Inventor: Michael Paul Black  
(first) (last) (citizenship)  
Residence (city) Simpsonville (state/country) South Carolina  
Mailing Address: 27 Redrobe Ct., Simpsonville, South Carolina  
(Zip Code) 29081

4. Inventor's Signature: [Signature] E [Signature] Date: 18 JAN 2007  
Inventor: Doug Dean  
(first) (last) (citizenship)  
Residence (city) Greer (state/country) South Carolina  
Mailing Address: 223 Silver Creek Rd., Greer, South Carolina  
(Zip Code) 239850

5. Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Inventor: Andrea Garcia-Crespo  
(first) (last) (citizenship)  
Residence (city) Greenville (state/country) South Carolina  
Mailing Address: 1421 Roper Mountain Road, Apt. 140, Greenville, South Carolina  
(Zip Code) 29615

**RULE 63 (37 C.F.R. 1.63)**  
**INVENTORS DECLARATION FOR PATENT APPLICATION**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

3. Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Inventor: Michael Paul Black USA  
(first) (last) (citizenship)  
Residence: (city) Simpsonville (state/country) South Carolina  
Mailing Address: 27 Redglobe Ct., Simpsonville, South Carolina  
(Zip Code) 29681
4. Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Inventor: Doug Dean USA  
(first) (last) (citizenship)  
Residence: (city) Greer (state/country) South Carolina  
Mailing Address: 223 Silver Creek Rd., Greer, South Carolina  
(Zip Code) 239650
5. Inventor's Signature:  Date: 1/17/07  
Inventor: Andres Garcia-Crespo  
(first) (last) (citizenship)  
Residence: (city) Greenville (state/country) South Carolina  
Mailing Address: 1421 Roper Mountain Road, Apt. 140, Greenville, South Carolina  
(Zip Code) 29615